

## Freedom of Information Act and Privacy Act Request Report

Must be completed if request cites "Freedom of In (See excer	formation A otions in Insi				," "Privacy A	ct" or "5	U.S.C. 5	52a".	
1. Date Received (MM/DD/YYYY) 2. Act(s) Cited (Check on			0///070/	00.)	3 Da	ate Due (M		YY)	
		Priva	cv Act (or	5 U.S.C. 5				,	
Act or 5 U.S.C. 552)				of the Acts					
4. Date(s) Responded <u>Month Day Year</u>					instructions)				
				• •	requested?	ר 🗆	(an [	No	
Completed Response        /        /        /        /		Was e	xpeulleu p	nocessing	requested?			NU	
Partial Response / / /		\A/aa "a	vooditod n	rococinal	" arontod?		/aa 🗆	No	
Partial Response / / / /		was e	xpeallea p	processing	granieu?		es _	No	
Partial Response / / / /									
6. First Party Requests	ut the request	or?				ו 🗆	/on [	No	
a. Is the request for COPIES OF/ACCESS TO records abo						ים		No	
b. Is the request for AMENDMENT of records about the rec	-								
7a. Requester's Name (Last, First, MI)		7b. Reque	ester's Org	anization (	(If applicable)				
Za Nama of Dansan an Whasa Dahalf Danwast la Mada (I ast									
7c. Name of Person on Whose Behalf Request Is Made (Last,	FIrst)								
8. Description of Records Requested (If multiple types request	ed describe i	in to three							
a									
b									
_									
C									
· · · · · · · · ·									
If same records were previously requested by any othe	er requester,	enter a, b,	c, as app	Dilcable:		_			
9. Records Search (Indicate which apply to each record type (a	a), (b), and (c)	above:							
(a) (b) (c) (a) (b	) (C)			(a) (b)	(C)				
□ □ □ No records exist		agency rec	ord		Duplicate	request			
Records were released in full		ent descrip			Other (Sp	•			
□ □ Records were denied in part □ □		ated reaso							
Records were denied in full		t withdraw							
10. Denial Information (Complete if records are denied in full									
a Authority Cited (Check one or more)	. , .		,						
Sec	tion(s) Cited by	y Record 1	ype in Iter						
ASM CFR FOIA Privacy Act				. ,					
				(c)					
b. Name of Person Responsible for the Denial (Last, First)				Title					
City				Ctoto	ZIP +	4			
City				State		4			
11. Resources Used (Beside each process, indicate time spe	nt (in hours ar	nd/or auart	er hours) l	hv professi	ional and/or cl4	erical staff	)		
						Clerical			
<i>Example:</i> If searching took 4 1/2 hours, enter 4 under Total Hours and check 30 minutes.	Total Hours	15 Min.	30 Min.	45 Min.	Total Hours	15 Min.	30 Min.	45 Min.	
Reading/Interpreting Request									
Searching for Responsive Records									
Reviewing Records for Release									
Writing Response Letter									
Reproducing or Printing Out Records									
Other (Specify)									
12. Accountability									
a. Prepared By Name (Print) (Last, First)									
b. Signature				c. Date					
d. Office/Facility Name:				I					
Picture and Area and U.O. Oran 1. 1				6 7 1 1					
e. District and Area or HQ Organization				f. Telephone No. (w/ Area Code)					

**Must Be Completed For:** Any written request for Postal Service records that cites in the letter or on the envelope the "Freedom of Information Act" (may instead refer to "the FOIA" or "5 U.S.C. 552") and/or the "Privacy Act" (may instead refer to "5 U.S.C. 552a"), with the following exceptions: requests (a) for customer name and address information pursuant to ASM 352.44; (b) from federal, state, or local government agencies; (c) from a union unless (1) the request cites the FOIA or Privacy Act and (2) when appropriate, includes the written consent of the records subject; (d) on preprinted Postal Service forms that reference the Privacy Act; (e) on preprinted forms from mortgage companies that reference the Privacy Act; and (f) requests that cite only the ASM or the Code of Federal Regulations (CFR) and do not cite the FOIA and/or Privacy Act.

- 1. **Date Received.** Enter the month, day, and year the request was received at the facility processing the request. This date is important because it begins the legal response time. Enter the date received at the facility, which may be different than the date received at the processing unit.
- 2. Acts Cited. Enter the Act(s) cited: Some requesters cite the Freedom of Information Act, some cite the Privacy Act, and some cite both Acts. Indicate the Act(s) cited in the request being processed. They may cite an Act in the body of the letter, in the address, or on the envelope.
- 3. Date Due. Enter the date a response is due. Compute this date by counting 20 working days from date of receipt of the request (Item 1).
- 4. **Date Responded.** Enter the month, day, and year of the response letter, i.e., the letter providing a determination on releasability of the records. (A letter asking for more time is **not** a response letter.) In order to avoid delays, an office may provide records as they become available, resulting in partial responses. Enter the partial response and completed response dates as appropriate.
- Expedited Processing. Expediting processing does not mean merely that the requester asked that you quickly process the request. It is a formal process in which the requester asks to be granted "expedited processing" and shows an exceptional need or urgency for the records (See ASM 352).
- 6. First Party Requests. If the request is for records about the requester or if the requester is acting on behalf of the person to whom the requested records pertain, check "Yes" in item a. If the request is for amendment of records about the requester or if the requester is acting on behalf of the person to whom the amendment request pertains, check "Yes" in item b. Otherwise, check "No".
- 7. **Requester.** Enter the name of the requester (the individual's name appearing in the signature line). Enter the name as the requester states it. For example, if the requester's name is E. James Smith, Jr., E. is the first name, J. is the middle initial, and Smith, Jr. is the last name. If the request is from a law firm or business, enter the organization name. If the request is being made by a person or entity (law firm, union rep., relative) on behalf of another person *who has provided written consent*, enter the name of the person on whose behalf the request is made.
- 8. Description of Records Requested. Many requests are for various types of records. Categorize the records in up to three types. Include a description of each type using key words, e.g., Contract No. 104230-96-V-000 or "customer complaints about the closing of the Jefferson Post Office." If personal records are requested, use general terms such as "Personnel" or "Medical." Do not include highly sensitive terms such as "EAP," "Psychiatric," etc. Also check if the same records were previously requested by others for any of the records types described. The intent here is to identify any "popular" records. For example, a major construction project, a post office closing, or a violence-related incident may prompt multiple requests for records about the same subject. In such cases, the requests logically would be processed by the same office that would then have knowledge of other requests.
- 9. Records Search. Indicate the search status for each of the records types described in item 8 above. Check "no records exist" if a search located no responsive records; "records were released in full" if all records found were released, i.e., none were denied; "records were denied in part" if records found were released in part but denied in part; "records were denied in full" if all records found were denied; "not an agency record" if records requested/found do not constitute agency records; "insufficient description" if postal personnel familiar with the subject area cannot determine what records are being requested and must write to the requester for clarification; "fee-related reason" if response provides an estimate of fees or denies a fee waiver request; "request withdrawn" if the request is not processed because the requester withdrew it; "duplicate request" if the request is a duplicate of one being processed from the same requester; or "other" if situation is other than indicated above.
- 10. **Denial Information.** *Authority Cited*: You should be referencing the FOIA statute (5 U.S.C. 552), the Privacy Act (5 U.S.C. 552a) or implementing regulations at 39 CFR 265 or 266, or *Administrative Support Manual* 352 or 353, respectively, to ensure compliance with the various aspects of the law. Indicate which you are using. *Sections Cited*: If you denied records or parts of records, your letter must cite the reason(s) for the denial (specific section(s) of the regulations), e.g., ASM 352.42b; ASM 352.42b and 352.451. Indicate the section(s) cited for each record type described in item 8. Give the name and title or position of the Postal Service records custodian responsible for the denial.
- 11. **Resources Used.** Indicate the time, in total hours and quarter hour increments, as appropriate, spent completing each process/activity by clerical and/or professional staff. Under "Other" specify activities such as consultation with field counsel or Records office. For example, if a request gave an insufficient description and it took a professional staff person 15 minutes each to read the request and write the response letter, 15 min. would be checked under "Professional Time" for the activities of "reading/interpreting request" and "writing response letter." (IMPORTANT! This tracks resources used to process the request and has no bearing on fees that may be assessed the requester.)
- 12. Accountability. The person who completed the report **must** print his/her name; sign and date where indicated; and include their Office/Facility Name, District and Area or HQ Organization, along with a telephone number in case there are questions about the information given.

## SEND A COPY TO YOUR RECORDS OFFICE COORDINATOR AS SHOWN BELOW. RECORDS OFFICE COORDINATORS ARE LOCATED IN THE FINANCE OFFICE OF EACH DISTRICT AND AREA CUSTOMER SERVICE OFFICE:

Records Custodians	Send Report to:
Area Offices	Records Office Coordinator in Area Office
Processing and Distribution Plant Offices	Records Office Coordinator in the Performance Cluster
Customer Service & Sales District Offices	Records Office Coordinator in the Performance Cluster
Postmasters	Records Office Coordinator in the Performance Cluster
Headquarters and Headquarters Field Units	Payroll Accounting/Records in Room 8800 at Headquarters

**UNITED STATES** POSTAL SERVICE ®

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FOIA (or Freedom of Act or 5 U.S.C. 552)				5 U.S.C. 5 of the Acts	52a)			,	
4. Date(s) Responded <u>Month</u> <u>Day</u> <u>Year</u>	:	5. Expedit	ed Proces	sing (See	instructions)				
Completed Response        / / /           Partial Response         / /		Was "e	xpedited p	processing'	' requested?	ר 🗆	∕es □	No	
Partial Response        / / /           Partial Response         / /		Was "e	xpedited p	processing'	' granted?	ו 🗌	∕es □	No	
<ol> <li>First Party Requests         <ul> <li>a. Is the request for COPIES OF/ACCESS TO records about</li> <li>b. Is the request for AMENDMENT of records about the request</li> </ul> </li> </ol>		er?						No No	
7a. Requester's Name (Last, First, MI)	-	7b. Reque	ster's Org	anization (	If applicable)				
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(a) (b) (c)       (a) (b)         (a) (b) (c)       (a) (b)         (a) (b) (c)       (a) (b)         (b) (c)       (c)         (c) (c)       (c) <td>Image: Not an a       Image: Not an a       Image</td> <td>agency rec ent descrip ated reaso t withdraw</td> <td>n n</td> <td>(a) (b)</td> <td>Duplicate</td> <td>•</td> <td></td> <td></td>	Image: Not an a       Image	agency rec ent descrip ated reaso t withdraw	n n	(a) (b)	Duplicate	•			
a. Authority Cited (Check one or more):	101 III part) (Se		5/15)						
Additionary offeet (Check one of more). Sec	tion(s) Cited by	y Record T	ype in Iter						
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City				State	ZIP +	4			
11. Resources Used (Beside each process, indicate time spe	ent (in hours an	nd/or quart	er hours) l	by professi	onal and/or cle	erical staff)			
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(FORM RESTRICTED UPON COMPLETION)

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